

# K-moduli space of del pezzo surface pairs

Joint work with Long Pan and Haoyu Wu

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## K-stability: some history

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- In 2017, Chi Li and K. Fujita discover the valuative criterion for K-stability, where many birational geometric tools can apply.
- In the recent years, Xu's school developed algebraic K-stability theory and use the theory to construct good moduli spaces for K-polystable (log) Fano varieties.

## K-stability: definition

Recall a log Fano variety  $(X, D)$  consists of a normal projective variety  $X$  and an effective  $\mathbb{Q}$ -divisor  $D$  such that  $-(K_X + D)$  is ample  $\mathbb{Q}$ -Cartier divisor.

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### Definition (Fujita-Li)

A log Fano variety  $(X, D)$  is K-semistable if

$$FL_{(X,D)}(E) := A_{(X,D)}(E) - S_{(X,D)}(E) \geq 0$$

for any prime divisor  $E \subset Y \xrightarrow{\pi} X$ . Here

$$A_{(X,D)}(E) := 1 + \text{ord}_E(K_Y - \pi^*(K_X + D))$$

$$S_{(X,D)}(E) := \frac{1}{(-K_X - D)^n} \int_0^\infty \text{vol}(-\pi^*(K_X + D) - tE) dt$$



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- Recall Zariski decomposition on normal projective surface  $X$ : let  $D$  be pseudo-effective  $\mathbb{Q}$ -divisor, then there is a unique decomposition  $D = P + N$  where  $P, N \geq 0$   $\mathbb{Q}$ -divisors such that  $P \cdot N_i = 0$  for each component of  $N$ ,  $P$  is nef and the intersection matrix of components of  $N$  is negative or  $N = 0$ . In particular,  $\text{vol}(D) = P^2$ .

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- $-K_{\text{Bl}_p \mathbb{P}^2} - tE = \mu^* \mathcal{O}(3) - (t+1)E$  has Zariski decomposition  $P_t = \mu^* \mathcal{O}(3) - (t+1)E$  for  $0 \leq t \leq 2$ .

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$$S_{\text{Bl}_p \mathbb{P}^2}(E) = \frac{1}{8} \int_0^2 (9 - (t+1)^2) dt = \frac{7}{6}$$

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At present, it is an active research direction to check K-stability of log Fano varieties. The main two approaches

- Equivariant criterion and Abban-Zhuang's adjunction of stability threshold.
- Moduli method.

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  - ① Liu-Xu (2019) and Liu(2021) proved that  $K$ -moduli space of cubic 3 or 4-folds is isomorphic to GIT space  $|\mathcal{O}_{\mathbb{P}^n}(3)| // \mathrm{PGL}(n+1)$ .

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$$\mathbb{P}\mathcal{E} //_{L_t} \mathrm{PGL}(4), \quad L_t = \mathcal{O}_{\mathbb{P}\mathcal{E}}(1) + p^* \mathcal{O}_{\mathbb{P}^9}(t)$$

where  $p : \mathbb{P}\mathcal{E} \rightarrow |\mathcal{O}_{\mathbb{P}^3}(2)| = \mathbb{P}^9$  is a projective bundle parametrizing  $(2, 4)$  complete intersections in  $\mathbb{P}^3$ .

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- 3 Ascher-DeVleming-Liu (2022) gives full wall-crossing of  $K$ -moduli space for  $(\mathbb{P}^3, cS_4)$ , based on the work of Laza-O'Grady's work on moduli space of quartic K3 surfaces.

## Equivariant criterion

### Theorem (Zhuang)

*Let  $G$  be an algebraic group acting on  $(X, D)$ . Then  $(X, D)$  is  $K$ -semistable if and only if  $(X, D)$  is  $G$ -equivariant  $K$ -semistable.*

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Assume effective torus action  $T = (\mathbb{G}_m)^{\dim X - 1}$  on  $(X, D)$ . Equivalently,  $(\mathbb{C}(X))^T = \mathbb{C}(\mathbb{P}^1)$  and there is  $X \dashrightarrow \mathbb{P}^1$ .



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### Theorem (Zhuang, Ilten-Suss)

*Let  $(X, D)$  be a 2-dimensional log Fano with an effective  $\mathbb{G}_m$ -action  $\lambda$ . Then  $(X, D)$  is  $K$ -polystable if and only if the followings hold:*

- 1  $\text{FL}_{(X,D)}(F) > 0$  for all vertical  $\lambda$ -invariant prime divisors  $F$  on  $X$ ;
- 2  $\text{FL}_{(X,D)}(F) = 0$  for all horizontal  $\lambda$ -invariant prime divisors  $F$  on  $X$ ;
- 3  $\text{FL}_{(X,D)}(v) = 0$  for the valuation  $v$  induced by the 1-PS  $\lambda$ .

## Example

$C = H_x + H_y + 4H_z \sim -2K_{Bl_p\mathbb{P}^2}$  where  $H_x$  the proper transform of the line  $\{x = 0\} \subset \mathbb{P}^2$ .

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### Proposition

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### Proof.

- $A_{(Bl_p\mathbb{P}^2, cC)}(H_z) = 1 - 4c \geq S_{(Bl_p\mathbb{P}^2, cC)}(H_z) = \frac{5}{6}(1 - 2c)$  implies  $c \leq \frac{1}{14}$
- $A_{(Bl_p\mathbb{P}^2, cC)}(H_x) = 1 - c \geq S_{(Bl_p\mathbb{P}^2, cC)}(H_x) = \frac{13}{12}(1 - 2c)$  implies  $c \geq \frac{1}{14}$

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- The pair  $(Bl_p\mathbb{P}^2, C)$  is toric. Computation of barycenters will show  $(Bl_p\mathbb{P}^2, \frac{1}{14}C)$  is  $K$ -semistable. Or one can use a  $\mathbb{G}_m$ -equivariant criterion.



## K-moduli spaces of log Fano varieties

- Due to many people's work (Jiang, Xu, Blum-Liu-Xu, Blum-Xu, Liu-Xu-Zhuang, Xu-Zhunag etc), there is a proper Artin stack of finite type  $\mathfrak{P}^K(c)$  parametrizing K-semistable  $n$ -dimensional log Fano varieties  $(X, cD)$  with fixed volume  $v = (-K_X)^n$  where  $D \sim -2K_X$  and  $c \in (0, \frac{1}{2}) \cap \mathbb{Q}$ .

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- Moreover,  $\mathfrak{K}^K(c)$  has good moduli space

$$\mathfrak{K}^K(c) \rightarrow P^K(c)$$

in the sense of J. Alper, which locally looks like

$$[\mathrm{Spec}(R)/G] \rightarrow \mathrm{Spec}(R^G)$$

where  $G$  is a reductive algebraic group.

# K-moduli wall-crossing

## Theorem (Ascher-DeVleming-Liu- 2019)

There are finitely many rational numbers (i.e., walls )  
 $0 < w_1 < \cdots < w_m < \frac{1}{2}$  such that

$$\overline{P}(c)^K \cong \overline{P}(c')^K \text{ for any } w_i < c, c' < w_{i+1} \text{ and any } 1 \leq i \leq m-1.$$

Denote  $\overline{P}^K(w_i, w_{i+1}) := \overline{P}^K(c)$  for some  $c \in (w_i, w_{i+1})$ , then at each wall  $w_i$ , there is a flip (or divisorial contraction)

$$\overline{P}^K(w_{i-1}, w_i) \longrightarrow \overline{P}^K(w_i) \longleftarrow \overline{P}^K(w_i, w_{i+1})$$

which fits into a local VGIT.



## K-moduli of del pezzo pair of degree 8

- Let  $P^K(c)$  be the K-moduli space of 2-dimensional log Fano varieties with  $(-K_X)^2 = 8$  and a general member is  $(Bl_p\mathbb{P}^2, cC)$ .

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$$D = \{z^4 f_2(x, y) + z^3 f_3(x, y) + \cdots + f_6(x, y) = 0\}.$$

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Assume  $f_2(x, y)$  has rank 2, then curve  $D$  has the form

$$az^4xy + z^3\tilde{f}_3(x, y) + z^2f_4(x, y) + zf_5(x, y) + f_6(x, y) = 0$$

Let  $\mathbb{P}V \cong \mathbb{P}^{20}$  be the parameter space of such  $D$  and there is  $T = (\mathbb{C}^*)^2$ -action on  $\mathbb{P}V$  and define GIT space  $\mathbb{P}V // T$ .

## Moduli space

- Let  $X = X_C \rightarrow Bl_p \mathbb{P}^2$  be the double cover branched along smooth curve  $C \sim -2K_{Bl_p \mathbb{P}^2}$ , then  $X$  is a K3 surface with anti-symplectic involution  $\tau : X \rightarrow X$ . Then  $NS(X)$  contains

$$\begin{pmatrix} 0 & 2 \\ 2 & -2 \end{pmatrix}.$$

Its period domain  $\mathcal{D}$  is determined transcendental lattice  $U^2 \oplus E_8 \oplus E_7 \oplus A_1$ .

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- Via a period point of K3 surfaces, there is birational map

$$P^K(c) \dashrightarrow \mathcal{F} = \Gamma \backslash \mathcal{D}, [(Bl_p \mathbb{P}^2, C)] \mapsto H^{2,0}(S_C) \pmod{\Gamma}$$

if  $P^K(c)$  is nonempty.

## Two divisors $\mathcal{F}$

- Hyperelliptic divisor  $H_h$  on  $\mathcal{F}: X \xrightarrow{2:1} Bl_p \mathbb{P}^2$  branched along a general curve  $C \in |-2K_{Bl_p \mathbb{P}^2}|$  tangent the  $(-1)$ -curve  $E$ .

$$NS(X) = \left( \begin{array}{c|ccc} & L & E_1 & E_2 \\ \hline L & 2 & 0 & 0 \\ E_1 & 0 & -2 & 1 \\ E_2 & 0 & 1 & -2 \end{array} \right)$$

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- Unigonal divisor  $H_u$  on  $\mathcal{F}: X \xrightarrow{2:1} Bl_p \widetilde{\mathbb{P}(1,1,4)} \rightarrow Bl_p \mathbb{P}(1,1,4)$ .

$$NS(X) = \left( \begin{array}{c|ccc} & E' & F' & H'_y \\ \hline E' & -2 & 0 & 2 \\ F' & 0 & -2 & 1 \\ H'_y & 2 & 1 & -2 \end{array} \right)$$

# Main results 1

## Theorem A (Pan-Si-Wu,2023)

① *The walls for  $K$ -moduli space  $P^K(c)$  are*

$$W_h = \left\{ \frac{1}{14}, \frac{5}{58}, \frac{1}{10}, \frac{7}{62}, \frac{1}{8}, \frac{5}{34}, \frac{1}{6}, \frac{7}{38}, \frac{1}{5}, \frac{5}{22}, \frac{2}{7} \right\}$$

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- ② *If  $c \in (0, \frac{1}{14})$ ,  $P^K(c)$  is empty. If  $c \in (\frac{1}{14}, \frac{5}{58})$ ,  $P^K(c) \cong \mathbb{P}V // T$ .*
- ③ *There are two divisorial contraction morphisms  $P^K(w + \epsilon) \rightarrow P^K(w)$  at  $w = \frac{5}{58}$  and  $w = \frac{29}{106}$ . The exceptional divisors  $E_w^+ \subset P^K(w + \epsilon)$  is birational to hyperelliptic divisor  $H_h$  ( resp. unigonal divisor  $H_u$  ).*

## Table for K-wall

wall	curve $B$ on $\mathbb{P}^2$	weight	curve singularity at $p$
$\frac{1}{14}$	$x^4zy = 0$	$(1,0,0)$	$A_1$
$\frac{5}{58}$	$x^4z^2 + x^3y^3 = 0$	$(0,2,3)$	$A_2$
$\frac{1}{10}$	$x^4z^2 + x^3zy^2 + a \cdot x^2y^4 = 0$	$(0,1,2)$	$A_3$
$\frac{7}{62}$	$x^4z^2 + xy^5 = 0$	$(0,2,5)$	$A_4$
$\frac{1}{8}$	$x^4z^2 + x^2zy^3 + a \cdot y^6 = 0,$	$(0,1,3)$	$A_5$ tangent to $L_z$
	$x^3f_3(z, y) = 0$	$(0,1,1)$	$D_4$
$\frac{5}{34}$	$x^4z^2 + xzy^4 = 0$	$(0,1,4)$	$A_7$ with a line
	$x^3z^2y + x^2y^4 = 0$	$(0,2,3)$	$D_5$
$\frac{1}{6}$	$x^4z^2 + zy^5 = 0$	$(0,1,5)$	$A_9$ with a line
	$x^3z^2y + x^2zy^3 + a \cdot xy^5 = 0$	$(0,1,2)$	$D_6$

Table: K-moduli walls from Gorenstein del Pezzo  $\mathbb{F}_1 = Bl_{[1,0,0]}\mathbb{P}^2$

## Table for K-walls

wall	curve $B$ on $\mathbb{P}^2$	weight	curve singularity at $p$
$\frac{7}{38}$	$x^3z^2y + y^6 = 0$	(0,2,5)	$D_7$ tangent to $L_z$
	$x^3z^3 + x^2y^4 = 0$	(0,3,4)	$E_6$
$\frac{1}{5}$	$x^3z^2y + xzy^4 = 0$	(0,1,3)	$D_8$ with $L_z$
$\frac{5}{22}$	$x^3z^2y + zy^5 = 0$	(0,1,4)	$D_{10}$ with $L_z$
	$x^3z^3 + x^2zy^3 = 0$	(0,2,3)	$E_7$
$\frac{2}{7}$	$x^3z^3 + xy^5 = 0$	(0,3,5)	$E_8$

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Table: K-moduli walls from Gorenstein del Pezzo  $\mathbb{F}_1 = Bl_{[1,0,0]}\mathbb{P}^2$

wall	curve $B$ on $\mathbb{P}(1, 1, 4)$	weight	$(a, b, m)$
$\frac{29}{106}$	$z^3 + z^2x^4 = 0$	(1,0,4)	(0, 1, 0)
$\frac{31}{110}$	$z^3 + zyx^7 = 0$	(2,0,7)	(1, 1, 1)
$\frac{2}{7}$	$z^3 + y^2x^{10} = 0$	(3,0,10)	(2, 1, 2)
$\frac{35}{118}$	$z^3 + zy^2x^6 + y^3x^9 = 0$	(1,0,3)	(1,0,1)

Table: K-moduli walls from index 2 del Pezzo  $Bl_{[1,0,0]}\mathbb{P}(1, 1, 4)$

## Main results 2

Define the Hassett-Keel-Looijenga (HKL) model for  $\mathcal{F}$

$$\mathcal{F}(s) := \text{Proj}\left(\bigoplus_m H^0(\mathcal{F}, m(\lambda + sH_h + 25sH_u))\right)$$

By Baily-Borel's work,  $\mathcal{F}(0) = \mathcal{F}^*$  is Baily-Borel's compactification for  $\mathcal{F}$  with boundaries  $\mathcal{F}^* - \mathcal{F}$  consisting of modular curves.

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### Theorem B (Pan-Si-Wu, 2023)

*There is natural isomorphism  $P^K(c) \cong \mathcal{F}(s)$  induced by the period map under the transformation*

$$s = s(c) = \frac{1 - 2c}{56c - 4}$$

*where  $\frac{1}{14} < c < \frac{1}{2}$ . In particular,  $P^K(c)$  will interpolate the GIT space  $\mathbb{P}V // T$  and Baily-Borel compactification  $\mathcal{F}^*$ . The walls are  $w = \frac{1}{n}$  and*

$$n \in \{1, 2, 3, 4, 6, 8, 10, 12, 16, 25, 27, 28, 31\}$$

## Sketch of proof of Theorem A

- Step1: To determine K-semistable degeneration. By using some classification results of index  $\leq 2$  del pezzo surface and normalised volume comparison due to Chi Li, Li-Liu, we can show each  $(X, cC) \in P^K(c)$ , then  $X$  is either  $Bl_p\mathbb{P}^2$  or  $Bl_p\mathbb{P}(1, 1, 4)$ .



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- Step2: Local VGIT structure of K-moduli implies if  $(X, cC) \in P^K(w)$  admits 1-PS  $\lambda$  and thus  $FL(E_\lambda) = 0$  where  $E_\lambda$  is exceptional divisor of certain weighted blowup determined by  $\lambda$ . e.g,  $X = Bl_{[1,0,0]}\mathbb{P}^2$  and for some  $\lambda = [0, m_1, m_2]$  on  $X$ ,

$$A_{(X,cC)}(E_\lambda) = a + b - mc, \quad S_{(X,cC)}(E_\lambda) = \frac{14a + 13b}{12}(1 - 2c)$$

Then  $A_{(X,cC)}(E_\lambda) = S_{(X,cC)}(E_\lambda)$  will all potential walls.

- Step3: To determine the 1st walls and then keep track of wall crossing at all centers for each walls.

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Following the arguments of Liu-Xu, show for  $\frac{1}{14} < c < \frac{1}{14} + \epsilon$  and any K-degeneration  $(X_0, cC_0)$  of  $(Bl_p\mathbb{P}^2, cC)$ ,  $X_0$  is still  $Bl_p\mathbb{P}^2$ , then

$$\mathfrak{P}^K \hookrightarrow \mathbb{P}V.$$

Then explicit wall-crossing are followed by analysis of local VGIT at each wall  $w \in W_u \cup W_h$ .

## Some remarks:

- The explicit wall-crossing from  $\mathbb{P}V//T$  to  $\mathcal{F}^*$  will be useful to calculate the topological invariants and intersection theory on the moduli space  $\mathcal{F}$ .

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## Some remarks:

- The explicit wall-crossing from  $\mathbb{P}V//T$  to  $\mathcal{F}^*$  will be useful to calculate the topological invariants and intersection theory on the moduli space  $\mathcal{F}$ .
- For higher dimensional log Fano pairs, to find walls of their K-moduli seems much harder than dimension 2. The arithmetic stratifications should be powerful to predict walls for K-moduli of log Fanos related to K3 surfaces (even irreducible holomorphic symplectic varieties).
- It should be interesting to look at the behavior of  $c > \frac{1}{2}$  and  $c = \frac{1}{2}$ . For  $c > \frac{1}{2}$ , by Alexeev-Engel and Alexeev-Engel-Han's work, the KSBA moduli space compactifying pairs  $(Bl_p\mathbb{P}^2, cC)$  and their slc degeneration has a natural normalization— Toroidal compactification of  $\mathcal{F}$ .  
For  $c = \frac{1}{2}$ , it is expected to have a moduli theory for log CY to connect wall crossing from K-moduli to KSBA moduli.

Thank you for attention !